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**FAX TRANSMISSION****OFFICIAL****DATE:** July 7, 2004**PTO IDENTIFIER:** Application Number 10/806,290 - Conf. No. 1858  
Patent Number**Inventor:** Henri Ayme et al.**MESSAGE TO:** US Patent and Trademark Office - LICENSING AND REVIEW**FAX NUMBER:** (703) 872-9306**FROM:** CONNOLLY BOVE LODGE & HUTZ LLP  
Morris Liss**PHONE:** (202) 331-7111**Attorney Dkt. #:** 20513-00602-US**PAGES (Including Cover Sheet):** 6**CONTENTS:** Response to Notice to File Statement (2 pages);  
Executed Property Rights Statement (2 pages); and  
Certificate of Transmission under 37 CFR 1.8 (1 page).

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PTO/SB/97 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Response to Notice to File Statement (2 pages);  
Executed Property Rights Statement (2 pages); and  
Certificate of Transmission under 37 CFR 1.8 (1 page).

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OFFICIAL

Docket No.: 20513-00602-US  
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:

Henri Ayme et al.

Application No.: 10/806,290

Confirmation No. 1858

Filed: March 23, 2004

Art Unit: 3641

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For: STEAM GENERATOR COMPRISING AN  
EMERGENCY FEED WATER DEVICE

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Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE STATEMENT**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Attn: **LICENSING AND REVIEW**

Dear Sir:

In response to the Notice mailed in the above-captioned case on May 14, 2004, attached please find the Property Rights Statement which has been executed by the inventors.

In view of the above, consideration and allowance are, therefore, respectfully solicited.

In the event that the Examiner believes an interview might serve to advance the prosecution of this application in any way, the undersigned attorney is available at the telephone number noted below.

Application No.: 10/806,290

Docket No.: 20513-00602-US

The Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication including any extension fees to Deposit Account No. 22-0185.

Dated: July 7, 2004

Respectfully submitted,

By 

Morris Liss

Registration No.: 24,510

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6-28-04

P04462


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/806,290	03/23/04	AYME, ET AL.	20513-00602-US

 CONNOLLY BOVE LODGE & HUTZ LLP  
 SUITE 800  
 1990 M STREET NW  
 WASHINGTON, DC 20036-3425

EXAMINER	
ART UNIT	PAPER NUMBER
PATENT & TRADEMARK OFFICE	

DATE MAILED: MAILED

MAY 14 2004

## LICENSING &amp; REVIEW

**IF NO RESPONSE TO THIS NOTICE IS RECEIVED WITHIN FORTY-FIVE DAYS, A  
 FORMAL REQUIREMENT WILL BE ISSUED**

The subject matter of this application appears to:

☒ be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182 (Department of Energy (DOE)).

☐ "have significant utility in the conduct of aeronautical and space activities" as recited in 42 U.S.C. 2457 (National Aeronautics and Space Administration (NASA)).

Accordingly, no patent can issue on this application unless applicant(s) file a statement (under oath or in the form of a declaration as provided by 37 CFR 1.68) setting forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency(ies) noted above. On the reverse side of this form is an example of an acceptable format for this statement. The language appearing in paragraphs III and/or IV of the example must appear if applicant is attempting to establish that no relationship (under item 2 above) exists.

If the invention disclosed in this application was developed under a contract, grant or cooperative agreement between the Agency indicated above and a person, small business or non-profit organization and rights to the invention have been determined by specific reference to 35 U.S.C. 202 in the contract, grant or cooperative agreement, then applicant need not submit the statement described above. Instead, applicant may file a verified statement (under oath or in the form of a declaration, 37 CFR 1.68) setting forth the information required by 35 U.S.C. 202(c)(6).

IF NO STATEMENT HAS BEEN RECEIVED WITHIN FORTY-FIVE DAYS OF THE MAIL DATE INDICATED ABOVE, a formal requirement for statement will then be issued. No provision is made for extension of the statutory thirty-day period for response to the formal requirement and the penalty for failure to file an acceptable and timely statement is abandonment of the application. Therefore, applicants are strongly encouraged to submit a statement at this time in order to avoid the issuance of a formal requirement.

IT IS IMPORTANT TO NOTE that the statement must accurately represent the property rights situation of the claimed invention if and when the application is found allowable. Thus, if during prosecution before the examiner, the claimed invention is so altered or the property rights situation so changed as to impact the accuracy of a statement submitted earlier, a supplemental statement must be filed. Failure to submit such additional information where appropriate may be considered a false representation of material facts and render the patent owner vulnerable to loss of patent rights and other sanctions as set forth in the statutes. The PTO will not review allowed applications for this possibility. The responsibility for complying with the statutes rests with the applicants.

Any questions regarding this requirement should be directed to Licensing and Review at (703) 305-2791

**PLEASE DIRECT ALL COMMUNICATIONS RELATING TO THIS MATTER TO THE  
 ATTENTION OF LICENSING AND REVIEW**

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) \_\_\_\_\_  
 citizens of \_\_\_\_\_  
 residing at \_\_\_\_\_  
 declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/806,290 filed in the United States of America on March 23, 2004  
 titled Steam Generator Comprising an Emergency Feed Water Device

(Check and complete either I or II below)

☐ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by \_\_\_\_\_. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of \_\_\_\_\_. Other relevant facts are \_\_\_\_\_ (name of employer)

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_ of \_\_\_\_\_:

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☐ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors; Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☐ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Henri Ayme H Ayme

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Date: 16 June 2004

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Date: 16 June 2004

TOTAL P.03